

CORPORATE GOVERNANCE REPORT FOR THE QUARTER ENDED SEPTEMBER 30, 2021

1. Name of Listed Entity - **South West Pinnacle Exploration Limited**
 2. Quarter ending - **30th September 2021**

i. Composition Of Board of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of Cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directors in listed entities including this listed entity	No. of Independent Directors in listed entities including this listed entity	No. of members in Audit/ Stakeholder Committee(s) including this listed entity	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks



Mr.	VIKAS JAIN	0004 9217	ACU PJ12 35E	C & ED	MD	27-Nov-2006	20-Nov-2020		36	24-Sep-1974	NA		1	0	2	0	AC,SC, NRC	
Mr.	PIYUSH JAIN	0004 9319	ADIP J840 0G	ED	MD	20-Nov-2009	20-Nov-2020		36	17-Jan-1982	NA		1	0	1	0	SC	
Mr.	ROGER JAMES LORD	0095 2295	ACG PL57 08E	NED		09-Nov-2020			21	12-Aug-1947	NA		1	0	0	0		Appointment of Mr. Roger James Lord as Non Executive Director of the company w.e.f 09 Nov 2020 is approved by shareholders in 15th AGM of the company held on 29 Sep 2021.
Mrs.	SUDHA MAHES HWARI	0678 4093	AKC PB2 226 R	ID		30-Oct-2017	30-Oct-2020		36	20-Aug-1981	NA		1	1	1	0	AC,NRC	
Mr.	JITENDRA KUMAR MISHRA	0798 3426	AGB PM0 509 M	ID		07-Nov-2017	07-Nov-2020		36	22-Aug-1970	NA		2	2	0	4	AC,SC,N RC	
Mr.	RAJENDRA PRASAD RITOLIA	0011 9488	ACE PR0 093C	ID		31-Mar-2019	01-Apr-2021		36	08-Jul-1948	NA		1	1	1	0		Reappointment of Mr. Rajendra Prasad Ritolia is approved by shareholders in 15th AGM of the company held on 29 Sep



																			2021 for a period of three years w.e.f Apr 01 2021
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Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	SUDHA MAHESHWARI	ID	Member	20-Nov-2017	
2	VIKAS JAIN	C & ED	Member	20-Nov-2017	
3	JITENDRA KUMAR MISHRA	ID	Chairperson	20-Nov-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee				
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Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	PIYUSH JAIN	ED	Member	20-Nov-2017	
2	VIKAS JAIN	C & ED	Member	20-Nov-2017	
3	JITENDRA KUMAR MISHRA	ID	Chairperson	20-Nov-2017	

Company Remarks	
Whether Permanent	Yes



chairperson appointed	
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c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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Company Remarks	
Whether Permanent chairperson appointed	

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	SUDHA MAHESHWARI	ID	Chairperson	21-Jul-2020	
2	VIKAS JAIN	C & ED	Member	21-Jul-2020	
3	JITENDRA KUMAR MISHRA	ID	Member	21-Jul-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
09-Jun-2021	09-Aug-2021	Yes	5	3
	10-Sep-2021	Yes	4	2

Company Remarks	
Maximum gap between any two consecutive (in number of days)	60



iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	12-Apr-2021	09-Aug-2021	Yes	3	2
Audit Committee	09-Jun-2021	09-Aug-2021	Yes	3	2
Nomination & Remuneration Committee	09-Jun-2021	09-Aug-2021	Yes	3	2
Stakeholders Relationship Committee	09-Jun-2021	09-Aug-2021	Yes	3	1

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	60

v. Related Party Transactions

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

Name : **VAISHALI**
Designation : **Company Secretary & Compliance Officer**



Affirmations

ANNEXURE III

<i>Broad Heading</i>	<i>Regulation Number</i>	<i>Compliance Status</i>	<i>Company Remark</i>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

